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Atty. Dkt. No. AM-5431

#3

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part

### INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### TITLE OF INVENTION ELECTROCHEMICALLY ROUGHENED ALUMINUM SEMICONDUCTOR PROCESSING APPARATUS SURFACES

### SPECIFICATION IDENTIFICATION

The specification of which:

- ☐ is attached hereto
- ☒ was filed on July 27, 2001, under Serial No. 09/918,683, executed on even date herewith; or under Express Mail No. ET160304673US (as the Serial No. is not yet known);
- ☐ and was amended on \_\_\_\_\_.
- ☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with

Title 37, Code of Federal Regulations, 1.56, and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

☐ In compliance with this duty there is attached an Information Disclosure Statement in accordance with 37 CFR 1.98.

☒ An Information Disclosure Statement will be submitted subsequently.

### PRIORITY CLAIM (35 U.S.C. §119)

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America or of any United States Provisional Application(s) listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

☒ No such applications have been filed.  
☐ Such applications have been filed as follows:

A. Prior foreign/PCT/provisional application(s) filed within 12 mos. (6 mos. for design) prior to this application, and any priority claims under 35 U.S.C. § 119

<u>Country/PCT</u>	<u>Application No</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

B. All foreign application(s), if any, filed more than 12 mos. (6 mos for design) prior to this U.S. application

Country:  
Application No:  
Filing date:

### PRIORITY CLAIM (35 U.S.C. §120)

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and insofar as the

subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose information that is material to the examination of this application (namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

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#### POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Peter J. Sgarbossa	Registration No. 25,610
Donald Verplancken	Registration No. 33,217
Lawrence Edelman	Registration No. 25,226
Joseph Bach	Registration No. 37,771
Raymond Kam-On Kwong	Registration No. 37,165
James C. Wilson	Registration No. 35,412
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Shirley L. Church	Registration No. 31,858
Kathi Bean	Registration No. 36,644

**Send correspondence to:**

Patent Counsel, MS/2061  
Legal Affairs Dept.  
Applied Materials, Inc.  
3050 Bowers Avenue  
Santa Clara, CA 95054.

**Direct telephone calls to:**

Shirley L. Church  
(408) 245-5109

#### DECLARATION

*I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.*

Atty. Dkt. No. AM-5431

Full name of **first** inventor: Jennifer Y. Sun

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: U.S.A.

Post Office Address: 9964 Alpine Terrace  
Sunnyvale, California 94086

Country of Citizenship: U.S.A.

Full name of **second** inventor: Clifford C. Stow

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: U.S.A.

Post Office Address: 480 N. Winchester Boulevard, #5  
Santa Clara, California 95050

Country of Citizenship: U.S.A.

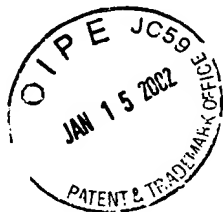
Full name of **third** inventor: Senh Thach

Inventor's signature: Senh Thach Date: 9-30-01

Residence: U.S.A.

Post Office Address: 32257 Jean Drive  
Union City, California 94587

Country of Citizenship: U.S.A.



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**TITLE OF INVENTION**  
**ELECTROCHEMICALLY ROUGHENED ALUMINUM**  
**SEMICONDUCTOR PROCESSING APPARATUS SURFACES**

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Full name of first inventor: Jennifer Y. Sun

Inventor's signature:  Date: Oct. 1, 2001  
Residence: U.S.A.  
Post Office Address: 9964 Alpine Terrace  
Sunnyvale, California 94086

Country of Citizenship: U.S.A.

Full name of second inventor: Clifford C. Stow

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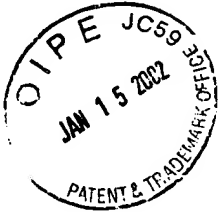
Country of Citizenship: U.S.A.

Full name of third inventor: Senh Thach

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence: U.S.A.  
Post Office Address: 32257 Jean Drive  
Union City, California 94587

Country of Citizenship: U.S.A.





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Full name of **first** inventor: Jennifer Y. Sun

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: U.S.A.

Post Office Address: 9964 Alpine Terrace  
Sunnyvale, California 94086

Country of Citizenship: U.S.A.

Full name of **second** inventor: Clifford C. Stow

Inventor's signature: Clifford C. Stow Date: 10/4/2001

Residence: U.S.A.

Post Office Address: 480 N. Winchester Boulevard, #5  
Santa Clara, California 95050

Country of Citizenship: U.S.A.

Full name of **third** inventor: Senh Thach

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: U.S.A.

Post Office Address: 32257 Jean Drive  
Union City, California 94587

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